

TIME:2Hrs

MARKS:60

N.B:-1) All questions are compulsory.

Q.1. Indian Financial services sector has a positive role to play due to the international financial integration in recent years. Discuss. (15 Marks)

OR

Q.1. Elucidate the role played by Merchant bankers to provide services to the corporate sector in the Indian Economy. (15 Marks)

Q.2. Explain the performance of various venture capital firms operating in India. Make suggestions for the success of Venture Capital in India. (15 Marks)

OR

Q.2. Write the meaning & definition of Securitization as a Financial service. Explain the features and process of securitization. (15 Marks)

Q.3. What is Hire purchase Financing. Comment on the Sources of Consumer Finance in India. (15 Marks)

OR

Q.3. Comment on the growth of housing finance in India. Explain the role of National Housing Bank. (15 Marks)

Q.4.A Rewrite the entire sentence selecting the most appropriate alternative with the given serial no's without altering the order / sequence. (8 Marks)

1. Venture Capital service helps to create _____.

- a) Academicians b) Manufacturers c) Entrepreneurs d) traders

2. Merchant Bank _____ the public issue of companies.

- a) Advice and manage b) direct c) instruct d) coordinate

3. The First Bank to start its merchant banking activities in India was _____.

- a) National Grindlays Bank b) City Bank c) SBI d) UCO Bank

4. _____ exists as an immature idea with an incomplete informal management team.

- a) Start up capital b) First stage capital financing c) Seed Financing stage
d) None of the above

5. _____ refers to public issue of shares of the company.

- a) Initial Public Offering (IPO) b) Bonus issue c) Right issue d) All of the above

6. _____ is an instrument that evidences the ownership of two or more equipment trust certificates.

- a) Pay through certificate b) pass-through certificate c) Tranched securities d) None of the above

7. _____ is the apex institution for regulating Housing finance in India.

- a) National Housing Bank b) Reserve Bank of India c) SEBI d) IRDA

8. _____ are financial contracts that derive their value from an underlying asset.

- a) Speculation b) Arbitrage c) Hedging d) Derivatives

Q.4.B) State whether true or false.

(7 Marks)

1. A 'sub Broker' is not a Trading Member of a Stock Exchange but who acts on behalf of a Trading Member as an agent.
2. In Pay through certificate, interest received from the receivables is passed to the holder of the unit.
3. Turnarounds refers to acquiring of control over a sick company.
4. Banks play an active role in consumer financing in India.
5. Securitisation helps to reduce Asset-Liability mismatch.
6. Under Dematerialization of Shares, physical transfer of shares are done by the company.
7. Depository system takes hold of all securities in the country listed in that particular stock exchange.

OR

Q.4 Write short notes.(Any three)

(15 Marks)

- a. Pass through certificates
- b. Benefits of Depository system
- c. E-broking
- d. Depository Participant
- e. Code of conduct for Custodial services
